

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on 7/5, 2001.

Kari Cruz
Kari Cruz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Paul GUIGNARD

U.S. Serial No.: 09/720/005

International Application No.: PCT/AU99/00501

102 (e) Filing Date: June 28, 2001

International Filing Date: June 18, 1999

For: GENERIC KNOWLEDGE
MANAGEMENT SYSTEM

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

COMMUNICATION

Box : PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
JUL 31 2001
Technology Center 2100

Dear Sir:

This is in response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/FO/US) dated June 26, 2001. The Oath and Declaration was filed with the U. S. Patent and Trademark Office on June 28, 2001 (copy attached). The surcharge for filing this Oath and Declaration was paid at the time of filing (copy of Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. § 371 and copy of return postcard is also enclosed).

Accordingly, Applicant has filed the Oath and Declaration and paid the surcharge fee that is required, therefore, Applicant believes all requirements to the Notice of Missing Requirements

Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/FO/US) have been met.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing docket no. 273402002600. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: July 5, 2001

By: Thomas E. Ciotti
Thomas E. Ciotti
Registration No. 21,013

Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-5702
Facsimile: (650) 494-0792

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Please type a plus sign (+) inside this box → +Approved for use through 10/31/02. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM***(to be used for all correspondence after initial filing)*

Total Number Of Pages In This Submission

3

Application Number

U.S. 09/720,005

International Application No. PCT/AU99/00501

Filing Date

102(e) date June 28, 2001

International Filing Date June 18, 1999

First Named Inventor

Paul GUIGNARD

Group Art Unit

To Be Assigned

Examiner Name

To Be Assigned

Attorney Docket No.

273402002600

ENCLOSURES (check all that apply)☐ Fee Transmittal Form☐ Assignment Papers
(for an Application)☐ After Allowance Communication to
Group☐ Fee Attached☐ Drawing(s)☐ Appeal Communication to Board of
Appeals and Interferences☐ Amendment / Reply☐ Licensing-related Papers☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ After Final☐ Petition☐ Proprietary Information☐ Affidavits/declarations☐ Petition to Convert to a
Provisional Application☐ Status Letter☐ Extension of Time Request☐ Power of Attorney, Revocation
Change of Correspondence Address☒ Other Enclosure(s) (please identify
below):1. Declaration for patent Application - 2 pgs
2. Return receipt postcard☐ Express Abandonment Request☐ Terminal Disclaimer☐ Information Disclosure Statement☐ Request for Refund☐ Certified Copy of Priority Document(s)☐ CD, Number of CD(s) _____☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

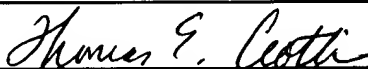
Remarks

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JUL 31 2001
Technology Center 2100**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**Firm
or
Individual Name

Morrison & Foerster LLP, 755 Page Mill Rd., Palo Alto, CA 94304-1018

Thomas E. Ciotti, Registration No. 21,013

Signature



Date

June 28, 2001

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: June 28, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.


Tamara Venegas

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

pa-603808

DOCKETED *ELL*

Attorney Docket: 273402002600

Filing Date: December 18, 2000

Applicant: Paul GUIGNARD

Serial No.: To Be Assigned

Atty/Sec: TEC2/paj

International Application No.: PCT/AU99/00501

International Filing Date: June 18, 1999

Title: GENERIC KNOWLEDGE MANAGEMENT SYSTEM

09/720005

Papers enclosed:

- ☒ Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. § 371 (2 pages);
- ☒ Copy of the International Application as filed (69 pages)
- ☒ Preliminary Amendment (3 pages)
- ☒ Return/receipt postcard

526 Rec'd PCT/PTO 18 DEC 2000

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pa-548068

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TRANSMITTAL FORM

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Application Number

U.S. 09/720,005

International Application No. PCT/AU99/00501

Filing Date

102(e) date June 28, 2001

International Filing Date June 18, 1999

First Named Inventor

Paul GUIGNARD

Group Art Unit

To Be Assigned

Examiner Name

To Be Assigned

Attorney Docket No.

273402002600

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declarations

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify
below):

1. Communication - 2 pgs

2. Copy of Declaration and Transmittal as
filed June 28, 2001 - 3 pages

3. Copy of Transmittal Letter and stamped
return receipt postcard - 3 pages

4. Copy of Notification of Missing
Requirements Under 35 U.S.C. § 371-1
page

5. Return receipt postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

Morrison & Foerster LLP, 755 Page Mill Rd., Palo Alto, CA 94304-1018

or

Individual Name

Thomas E. Ciotti, Registration No. 21,013

Signature

Thomas E. Ciotti

Date

July 5, 2001

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on *July 5*, 2001.

Kari Cruz
Kari Cruz

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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/720005	GUIGNARD	P 273402000026
INTERNATIONAL APPLICATION NO.		

THOMAS E CIOTTI
MORRISON & FOERSTER
755 PAGE MILL ROAD
PALO ALTO, CA 94304 1018

PCT/AU99/00501

I.A. FILING DATE	PRIORITY DATE
18 JUN 99	18 JUN 98

DATE MAILED:

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- | | |
|--|---|
| <input type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Indication of Small Entity Status. |
| <input type="checkbox"/> Copy of the international application. | <input type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventor(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input checked="" type="checkbox"/> Other: SEARCH REPORT; PRELIMINARY AMENDMENT. |
| <input checked="" type="checkbox"/> Priority Document. | |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. | |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- | | |
|---|---|
| <input type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Copy of the international application. |
|---|---|

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- | |
|--|
| <input type="checkbox"/> a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date. |
| <input type="checkbox"/> The current translation is defective for the reasons indicated on the attached Notice of Defective Translation. |
| <input type="checkbox"/> b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)). |
| <input checked="" type="checkbox"/> c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date. |
| <input type="checkbox"/> The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917. |
| <input checked="" type="checkbox"/> d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)). |

4. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

COTTMAN, DARRELL C.

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-3693

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8/26/01

JUN 28 2001

Morrison & Foerster, LLP

DECLARATION FOR PATENT APPLICATION
(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER
273402002600

As a below named inventor I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

GENERIC KNOWLEDGE MANAGEMENT SYSTEM

the specification of which (check only one item below):

- ☐ is attached hereto.
- ☐ was filed as United States application
- Serial No.
on
and was amended on.
- ☒ was filed as PCT international application

Number PCT/AU99/00501
on June 18, 1999,
and was amended under PCT Article 19
on (if applicable).

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JUL 31 2001
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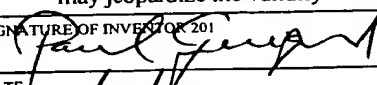
I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37 Code of Federal Regulations § 1.56(a) and (b).

I hereby claim foreign priority benefits under Title 35 United States Code § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119:

COUNTRY (if PCT indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. § 119
Australia (AU)	PP4164	June 18, 1998	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

Declaration for Patent Application (Continued) (Includes Reference to PCT International Applications)				ATTORNEY'S DOCKET NUMBER 273402002600	
I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:					
PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. § 120:					
U.S. APPLICATIONS			STATUS (Check one)		
U S APPLICATION NUMBER	U S FILING DATE		PATENTED	PENDING	ABANDONED
				*	*
PCT APPLICATIONS DESIGNATING THE U.S.			STATUS (Check one)		
PCT APPLICATION NUMBER	PCT FILING DATE	U S SERIAL NUMBERS ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
				*	*
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)					
Send correspondence to: Thomas E. Ciotti Morrison & Foerster LLP 755 Page Mill Road Palo Alto, California 94304-1018				Direct telephone calls to: Thomas E. Ciotti at (650) 813-5702	
201	FULL NAME OF INVENTOR	FAMILY NAME Guignard	FIRST GIVEN NAME Paul	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY North Sydney	STATE OR FOREIGN COUNTRY New South Wales	COUNTRY OF CITIZENSHIP Australia	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS Level 21, 201 Miller Street	CITY North Sydney	STATE & ZIP CODE/COUNTRY New South Wales, 2060, Australia	
				SECOND GIVEN NAME	
202	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
203	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.					
SIGNATURE OF INVENTOR 201 		SIGNATURE OF INVENTOR 202		SIGNATURE OF INVENTOR 203	
DATE 11/5/01		DATE		DATE	